

Mammalian Target of Rapamycin (mTOR) Inhibitors

Potential Uses and a Review of Haematological Adverse Effects

Sofia Sofroniadou and David Goldsmith

Department of Renal Medicine and Transplantation, Guy’s and St Thomas’ NHS Foundation Trust, King’s Health Partners Academic Health Science Centre (AHSC), London, UK

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Abstract

Mammalian target of rapamycin (mTOR) inhibitors (mTORis) constitute a relatively new category of immunosuppressive and antineoplastic drugs. These share a unique mechanism of action that is focused on the inhibition of the mTOR. Their clinical applications have recently expanded significantly to cover a wide spectrum of immune and non-immune-mediated disorders, including, apart from solid organ transplantation, various solid organ and haematological malignancies, rheumatological and auto-immune diseases such as rheumatoid arthritis, systemic lupus erythematosus, fibrotic conditions, e.g. pulmonary and hepatic fibrosis, and even metabolic problems such as diabetes mellitus and obesity. The most challenging and frequent adverse effects of the mTORis are the haematological ones, especially anaemia, leukopenia and thrombocytopenia. A unique characteristic of mTORi-induced anaemia is concurrent marked microcytosis. Recently, mechanisms have been proposed to explain the microcytic appearance of this anaemia; these include globin production defect, erythropoietin resistance, chronic inflammation, dysregulation of cellular iron metabolism and hepcidin-mediated iron homeostasis interference. As the differential diagnosis of microcytic anaemia

includes pure iron deficiency, functional iron deficiency and haemoglobinopathies, characterization of the anaemia requires significant investigation, time and costs. Therefore, understanding of the likely interaction between mTORis and patients is valuable in clinical practice. Moreover, this could expand the drugs' therapeutic applications to other disorders, and suggest novel targets for further research.

Mammalian target of rapamycin (mTOR) inhibitors (mTORis) – rapamycin, also known as sirolimus, and its analogues everolimus, temsirolimus and deforolimus (ridaforilimus) – have received increasing attention recently because of their expanding roles, especially in solid organ transplantation and cancer. The mTORis share a unique mechanism of action inhibiting the mTOR. The mTOR, a 289 kDa serine/threonine kinase, was first identified in the yeast *Saccharomyces cerevisiae* and subsequently in mammalian cells. It is intracellular, with multiple subdomains that have been highly conserved throughout evolution from yeast to mammals.^[1,2] It has key roles in cellular protein synthesis and energy balance, control of eukaryotic cell growth and proliferation, including cell-cycle progression, differentiation, protein degradation, apoptosis and angiogenesis.^[3-5]

Two distinct mTOR complexes have been recognized: mTOR complex 1 (mTORC1) and mTOR complex 2 (mTORC2). The former is composed of the proteins mTOR, regulatory-associated protein of mTOR (raptor), mLST8/GbL and proline-rich AKT substrate 40 kDa (PRAS40),^[6-8] whereas the latter is composed of mTOR, rapamycin insensitive companion of mTOR (riCTOR), protor-1, mLST8/GbL and mammalian stress-activated protein kinase interacting protein 1 (mSIN1).^[9,10] The main functions of mTORC1 include protein synthesis and cell-cycle progression, whereas mTORC2 plays an important role in actin cytoskeleton organization and cell survival.^[11] Favourable concentrations of nutrients and growth factors stimulate mTORC1, whereas mTORC2 activation is limited to growth factor signalling.^[12]

The purpose of this review is to examine the haematological adverse effect profile of this important class of drugs, as there are clear and predictable adverse effects that have been seen

from the earliest period of mTORis use, and were commonly the reason for therapy discontinuation. Understanding how and why these haematological adverse effects occur will help clinicians in diverse clinical and research settings avoid unwanted drug toxicity.

1. Mammalian Target of Rapamycin (mTOR) Inhibitors (mTORis): Mechanisms of Action

All of the mTORis exhibit their action via the same mechanism. Following entry into the cytoplasm, the drug binds to the intracellular binding protein FK506-binding protein (FKBP12), an immunophilin, to form a complex. This complex then binds to mTOR at the FKBP12-rapamycin-binding domain, interfering with its ability to signal adequately to its downstream effectors. The inhibition of the mTOR signalling by the FKBP12-rapamycin complex is not fully understood but it may involve a destabilization of the interaction between mTOR and raptor.^[4] Although it is known that only mTORC1 is inhibited by rapamycin, recent data suggest that prolonged treatment with rapamycin inhibits mTORC2 signalling by preventing the assembly of the mTOR-riCTOR complex.^[13] The two most important effectors in the signalling pathway downstream of mTOR are S6K1 (ribosomal p70S6 kinase) protein and the initiation of translation factor 4E-BP1 (also known as PHAS-I), both of which control the translation of specific messenger RNAs (mRNAs) and the synthesis of particular proteins (figure 1). The inhibition of mTOR blocks the signal of the two downstream messengers, S6K1 and 4E-BP1, and prevents translation of key mRNAs required for cell-cycle progression from the G1 to S phase.^[15,16]

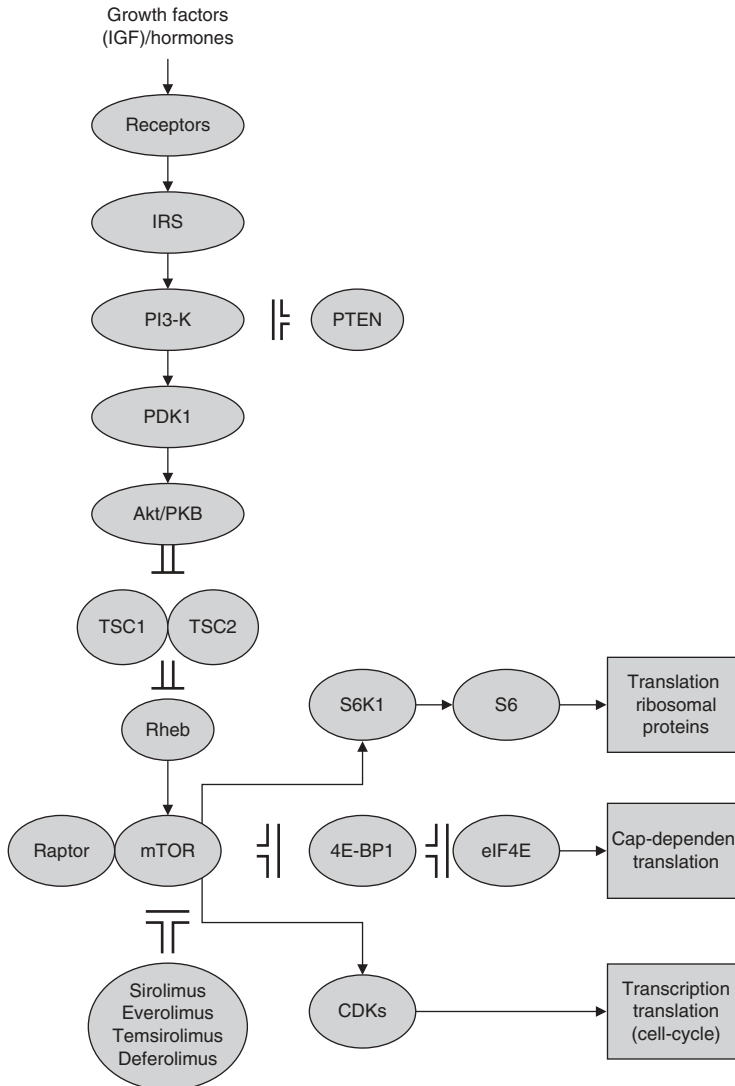


Fig. 1. The mammalian target of rapamycin (mTOR) signalling pathway. Receptors on the cell surface are activated by growth factors such as the insulin-like growth factor (IGF). The receptors signal to phosphatidylinositol 3-kinase (PI3-K), through insulin-receptor substrates (IRS). The PI3-K can be inhibited by PTEN, which is the phosphatase and tensin homologue tumour suppressor. PI3-K, in turn, activates phosphoinositide-dependent protein kinase 1 (PDK1) and the protein kinase B (Akt/PKB). Akt phosphorylates the tuberous sclerosis proteins TSC1–TSC2 complex, which is thought to act as a negative regulator of the small guanosine-5'-triphosphate (GTP)-binding protein Rheb, which may be the direct activator of mTOR. The activated mTOR complex 1 (mTORC1) phosphorylates and activates S6K1, which subsequently phosphorylates the 40S protein S6 of ribosome, resulting in initiation of messenger RNA (mRNA) translation with a 50-terminal oligopyrimidine and encoding of ribosomal proteins and/or elongation factors that lead to stimulation of protein synthesis. mTOR directly phosphorylates and inactivates eukaryotic translation initiation factor 4E (eIF4E)-binding protein 1 (4E-BP1), the suppressor of eIF4E. The release of eIF4E from phosphorylated 4E-BP1 is required for cap-dependent translation of mRNAs, including those that are needed for cell-cycle progression and are involved in cell-cycle regulation.^[14] **CDKs**=cyclin-dependent kinases; **raptor**=regulatory-associated protein of mTOR.

As a consequence, the mTOR inhibition leads to G1 arrest.^[15] It also blocks the interleukin (IL)-2 receptor-dependent and the CD28-dependent

signalling pathways, resulting in inhibition of growth factor driven proliferation of haematopoietic and non-haematopoietic cells. Thus, the

mTORis inhibit T- and B-lymphocyte activation, proliferation and differentiation in response to antigenic and cytokine stimulation, and block antibody production, effects that constitute the cornerstone of their immunosuppressive activity.

2. Clinical Applications

The clinical applications of the mTORis cover a wide spectrum of immune-mediated and increasing numbers of non-immune-mediated disorders, while their preclinical development involves other diseases such as diabetes mellitus or obesity (table I).

This places this class of drug at the centre of many different disease pathways and clinical and laboratory research.

The first of the mTORis to be discovered was sirolimus (Rapamune®; Wyeth-Ayerst, Collegeville, PA, USA) as part of a screening programme for new antifungal agents, and was named rapamycin because it was isolated from a strain of the fungus *Streptomyces hygroscopicus* in a soil sample from the Rapa Nui, or Easter Island.^[68] The inhibitory effect of sirolimus on the immune system was recognized in rats not long after its discovery in 1977.^[69] However, the initial studies

Table I. Clinical applications of mammalian target of rapamycin inhibitors (mTORis)

Clinical field	Disease/disorder	mTORis	References (clinical and preclinical data)
Transplantation	Kidney transplantation	Sirolimus, everolimus	17-20
	Pancreas transplantation	Sirolimus, everolimus	21,22
	Liver transplantation	Sirolimus, everolimus	23,24
	Heart/lung transplantation	Sirolimus, everolimus	25-28
	Graft-versus-host disease	Sirolimus	29
Cancer	Kaposi's sarcoma, glioma, advanced solid malignancies, leukaemia, non-Hodgkin's lymphoma	Sirolimus	30-35
	Non-small-cell lung cancer, renal cell carcinoma, colorectal, neuroendocrine tumours, post-transplant lymphoproliferative disorder, haematological malignancies	Everolimus	36-41
	Renal cell carcinoma, metastatic breast, advanced solid tumours, endometrial, myeloid cell leukaemia	Temsirolimus	42-45
	Advanced malignancies, advanced sarcomas,	Deforolimus	46-48
	TSC1/TSC2, neurofibromatosis, Peutz-Jeghers, Cowden disease	Sirolimus	32,49
Autoimmune disorders	Rheumatoid arthritis	Sirolimus, everolimus	50,51
	Systemic lupus erythematosus	Sirolimus	52
	Autoimmune lymphoproliferative syndrome	Sirolimus	53
	Autoimmune myocarditis	Sirolimus	54
	Autoimmune hepatitis	Sirolimus	55
	Membranous nephropathy	Sirolimus	56
Infectious diseases	HIV	Sirolimus	57
Neurological disorders	Huntington's disease	Sirolimus	58
Metabolic disorders	Type II diabetes mellitus, obesity	Sirolimus	59-61
Proliferative disorders	Autosomal dominant polycystic kidney disease	Sirolimus	62
	Pulmonary fibrosis	Everolimus	63
	Hepatic fibrosis	Sirolimus	64
	Cardiac hypertrophy	Sirolimus	65
	Drug-eluting coronary stents	Sirolimus, everolimus, zotarolimus	66,67

in animal models that demonstrated *in vivo* the immunosuppressive efficacy of sirolimus were conducted a decade later.^[70,71] The drug was approved in 1999 by the US FDA as an immunosuppressant for the prevention of acute rejection episodes in combination with ciclosporin (cyclosporine) and corticosteroids in renal transplantation. Later, in 2000 in Europe and 2003 in the US, sirolimus was approved as a maintenance immunosuppressant instead of ciclosporin 3 months following transplantation in low-risk kidney transplant recipients. In pancreas transplantation, sirolimus use, both as *de novo* and as rescue therapy, was successful.^[21,22] Although the initial experience of its use in liver transplantation was not encouraging,^[72] two studies demonstrated excellent results and suggested the use of sirolimus-based immunosuppression in liver transplantation.^[23,24] Sirolimus has also been used with success in heart transplantation,^[25,26] while some small studies also showed good outcomes in lung transplant recipients.^[27,28]

Despite demonstrating significant antitumour activity,^[73,74] sirolimus was not developed as an anticancer drug in the early days due to solubility and stability problems that were later eclipsed by the development of appropriate formulations. Sirolimus was used both as an immunosuppressant and an anticancer drug in renal transplant recipients with Kaposi's sarcoma.^[30] It was also evaluated in renal transplant patients as a prophylactic agent for cutaneous and non-cutaneous malignancies.^[31] Because of its antiproliferative and anticancer properties, sirolimus was also used in tuberous sclerosis complex patients with angiomyolipomas and lung lymphoangiomyomatosis,^[32] as well as in various malignancies (table I).

The initial solubility and stability issues with sirolimus led to the development of rapamycin analogues with superior pharmacokinetic and biological properties. Three rapamycin analogues or rapalogues [everolimus (RAD001; 40-O-[2-hydroxyethyl]-rapamycin), Novartis Pharma, temsirolimus (cell-cycle inhibitor-779), Wyeth; and deforolimus (AP23573), Ariad Pharmaceuticals] have been synthesized and introduced to clinical trials in different, mainly malignant, dis-

orders. Everolimus is an oral derivative of sirolimus (not a prodrug) that has been approved in Europe as an immunosuppressant for the prevention of renal and cardiac allograft rejection.^[20] Moreover, it has also demonstrated antitumour activity in phase I studies in solid organ tumours,^[36-38] and in phase II studies in low-grade neuroendocrine tumours.^[39] Additionally, it has shown effectiveness in post-transplant lymphoproliferative disorders caused by Epstein-Barr virus (EBV) transformation, as well as in advanced haematological malignancies.^[40,41]

Temsirolimus is a dihydroxymethyl propionic acid ester prodrug of sirolimus that is available in both oral and intravenous formulations. It has mainly been developed as an anticancer agent as it showed potent antitumour activity, and has been extensively used in clinical trials in oncology. The drug was approved by the FDA for the treatment of advanced renal cell carcinoma in 2007. Encouraging results have been demonstrated by its utilization in advanced solid tumours^[42-44] and in relapsed and refractory mantle cell lymphoma (MCL).^[45]

One rapamycin analogue, deforolimus or AP23573, has recently entered phase I and II trials (for advanced malignancies^[46,47] and advanced sarcomas^[48]), whereas other analogues, such as AP23841 (an analogue that was designed to treat primary bone malignancies or metastatic bone lesions), are in advanced preclinical development.

Apart from the above major clinical applications, the mTORis have also been studied clinically in graft-versus-host disease,^[29] in neurodegenerative disorders,^[58] as well as in infectious diseases such as HIV.^[57] Recently, sirolimus was studied in autoimmune disorders, such as experimental autoimmune myocarditis,^[54] post-transplant autoimmune hepatitis,^[55] patients with autoimmune lymphoproliferative syndrome^[53] and experimental membranous nephropathy.^[56] The results from the clinical trials were encouraging in rheumatoid arthritis,^[50,51] where sirolimus as well as everolimus were administered, and in systemic lupus erythematosus (SLE) where sirolimus was shown to be a safe and effective treatment for SLE refractory to traditional medications.^[52] Further new promising applications of the mTORis

highlighting its antifibrotic effects include autosomal dominant polycystic kidney disease (ADPKD),^[62] pulmonary^[63] and hepatic fibrosis^[64] and cardiac hypertrophy.^[65] Additionally, sirolimus-eluting cardiovascular stents have been approved for clinical use since 2003^[66,67] and, more recently, two more mTORis (everolimus, zotarolimus) have been used in drug-eluting stents following coronary angioplasty.^[75,76]

3. Haematological Adverse Effect Profile

Since the early clinical trials with sirolimus in transplantation in 1996, it emerged that anaemia, leukopenia and thrombocytopenia were amongst the most common adverse effects of the mTORis introduction. These adverse effects were the most likely reason for the intervention with mTORis to be terminated.^[17,18,77] Although initially these haematological adverse effects seemed to result from dose- or concentration-limited toxicities in the bone marrow (myelosuppression), the underlying mechanisms leading to anaemia development are likely to be more complex and are still poorly understood.

3.1 Thrombocytopenia and Leukopenia

In one of the early, randomized, double-blinded, phase I studies with sirolimus, the safety and tolerance of multiple doses of the drug were assessed in renal transplant patients under simultaneous ciclosporin and corticosteroid immunosuppression.^[77] The study cohort was partitioned into four sirolimus dosage groups: placebo, low dose (1–3 mg/m²/day), medium dose (5–6 mg/m²/day) and high dose (7–13 mg/m²/day). Platelet count demonstrated the clearest evidence of a dose-dependent toxicity during sirolimus treatment, with patients receiving medium- and high-doses of sirolimus exhibiting the greatest decrease in platelet count. The mean baseline value of all patients in the sirolimus group decreased from $[238.7 \pm 62.9] \times 10^3$ cells/mm³ to 169.1 ± 74.9 cells/mm³ ($p = 0.0003$) at day 15. A statistically significant, but not convincingly dose related, decrease in the mean white blood cell (WBC) count after sirolimus administration was also recorded.

The same centre subsequently conducted an open-label, single-centre, phase I/II, dose-escalation trial to examine the safety and efficacy of this drug combination^[17] Forty mismatched living-donor renal transplant recipients were sequentially assigned to receive escalating initial dosages of sirolimus (0.5–7.0 mg/m²/day) in addition to courses of prednisone and a concentration-controlled regimen of ciclosporin. The above cohort was compared with a historical cohort of 65 demographically similar recipients treated with the same concentration-controlled regimen of ciclosporin and tapering doses of prednisone. The addition of sirolimus produced haematological effects manifested as decreased WBC ($p < 0.0001$) and platelet ($p = 0.02$ – 0.0004) counts.

One year later a randomized multicentre study also demonstrated thrombocytopenia and leukopenia under sirolimus-based immunosuppression.^[18] Eighty-four first cadaveric renal allograft recipients were randomized to ciclosporin ($n = 42$) or sirolimus ($n = 41$), while they all received corticosteroids and azathioprine. Thrombocytopenia was a striking adverse effect of sirolimus (37% vs 0% mean of 161 vs 261 g/L at month 1; $p < 0.001$), followed by leukopenia (39% vs 14%) These abnormalities improved 2 months after transplantation when the sirolimus target trough level was lowered from 30 to 15 ng/mL.

In another study from 14 European centres, 78 first cadaveric renal allograft recipients were randomized to receive sirolimus ($n = 40$) or ciclosporin ($n = 38$) in an open-label design.^[19] All patients received corticosteroids and mycophenolate mofetil 2 g/day. Sirolimus doses were adjusted to achieve steady-state trough levels of approximately 30 ng/mL for 2 months, and 15 ng/mL thereafter. Sirolimus was associated with a higher incidence of thrombocytopenia, with all but one of the cases appearing in the first 3 months of the study, while WBC counts were also significantly lower in the sirolimus group in the same study period.

Moreover, the incidence of sirolimus-induced thrombocytopenia and leukopenia was assessed in a study that compared two cohorts of renal transplant recipients over 1 year: 119 patients received sirolimus in addition to ciclosporin and

prednisolone, and 65 demographically similar, concurrent patients received only ciclosporin and prednisolone.^[78] Thrombocytopenia (platelet count $<150 \times 10^3$ cell/mm³) was usually observed during the first 4 weeks of treatment ($p=0.004$). Compared with the ciclosporin-prednisolone group, the sirolimus-ciclosporin-prednisolone treatment group showed a 2.2-fold greater overall relative risk of thrombocytopenia. The incidence of leukopenia (WBC count <5000 /mm³) was also highest during the first 4 weeks. The occurrence, but not the severity or the persistence, of both thrombocytopenia and leukopenia correlated significantly with sirolimus trough concentrations ≥ 16 ng/mL ($p=0.001$ and 0.0001 , respectively). A significant correlation was demonstrated between the two adverse effects ($p=0.001$). The authors proposed two hypotheses to explain the above adverse effects. The one they favoured less was based on *in vitro* findings that sirolimus potentiates platelet destruction in a time- and dose-dependent manner via agonist-induced aggregation,^[79] although the phase III trials failed to show an increased rate of venous thrombosis among patients in the sirolimus arms.^[80,81] Their second hypothesis was the inhibition by sirolimus of the signal transduction via the glycoprotein 130 (β). This receptor is shared by a variety of cytokines, including IL-11,^[82] granulocyte colony-stimulating factor^[83] and erythropoietin, which stimulate the production of platelets, leukocytes and erythrocytes, respectively.

The use of sirolimus or other mTORis in oncology was also accompanied by haematological adverse effects. Sirolimus was assessed in a phase I study of patients with recurrent glioma who received sirolimus combined with gefitinib.^[33] Sirolimus was administered either at 10 mg in patients receiving anticonvulsant drugs that are known to induce cytochrome P450 3A or at 5 mg in patients not receiving any anticonvulsant drugs. The mean trough sirolimus level for patients treated with 5 mg/day (i.e. 7.0) was significantly lower than that of patients treated with 10 mg/day (16.7; $p<0.0001$); however, trough sirolimus levels did not differ based on stratum ($p=0.136$). Thrombocytopenia was the most common dose-limiting toxicity.

A phase I study with everolimus in 33 patients with advanced solid tumours reported neutropenia as grade III dose-limiting toxicity.^[84] Twelve patients (22%) presented grade 1–3 neutropenia (only one patient presented grade 3 that was reported as drug-related toxicity) and 17 (31%) patients presented grade 1–2 thrombocytopenia. Patients were treated with either 20, 50 and 70 mg weekly doses (trough everolimus concentrations = 0.7 ng/mL, 1.0 ng/mL and 4.2 ng/mL, respectively) or 5 and 10 mg daily (trough everolimus concentrations = 8.5 ng/mL and 17.0 ng/mL, respectively).

The use of temsirolimus in a phase II clinical trial in 35 patients with relapsed and refractory MCL in a weekly dose of 250 mg was also accompanied by dose-limiting thrombocytopenia.^[45] Thrombocytopenia accompanied by anaemia were also the main drug-related adverse effects of deforolimus in a phase II study of 25 patients with advanced sarcomas.^[48]

3.2 Anaemia

The anaemia induced by sirolimus and other mTORis has been the subject of recent interest due to its ubiquity, its characteristics and the proposed mechanisms for its development. The development of anaemia in transplantation (post-transplantation anaemia [PTA]) has started to receive attention over the last decade since the improvement in patient and graft survival highlighted the importance of chronic attention to anaemia and of cardiovascular risk factors control. The WHO definition of anaemia is a haemoglobin level <13 g/dL in adult males and <12 g/dL in adult females. The overall picture from the available evidence is that PTA is common (12–76%). Two large European surveys have shown that 38.6% and 45.6% of the patients, respectively, were anaemic at enrolment.^[85,86]

The mTORis are amongst the immunosuppressants associated with PTA; others are mycophenolate mofetil and azathioprine.^[87] The first study to demonstrate this correlation was a phase I and II study of 40 mismatched living-donor renal transplant recipients who were assigned to receive escalating initial dosages of sirolimus

(0.5–7.0 mg/m²/day), in addition to courses of prednisone and ciclosporin.^[17] The above cohort was compared with a historical cohort of 65 patients, and a slower recovery of the haemoglobin, particularly after the first month, ($p=0.05$ to <0.0001) was shown for the sirolimus-treated patients.

One year later, a randomized, open-label, parallel-group, multicentre study demonstrated a 37% incidence of anaemia early post-transplantation in sirolimus-treated patients versus 24% in a ciclosporin-treated group. The anaemia was found to be sirolimus concentration-dependent and was improved or reversed when the target trough concentration was lowered from 30 to 15 ng/mL.^[18]

A worldwide phase III study of a sirolimus/ciclosporin regimen in combination with corticosteroids in primary mismatched renal allograft recipients revealed a higher incidence of anaemia compared with the placebo/ciclosporin/corticosteroid group.^[88] The anaemia appeared to be dose-dependent, with 27% incidence in the 5 mg/day sirolimus-treated cohort compared with 16% incidence in the sirolimus 2 mg/day cohort, and no statistically significant difference between the last cohort and the placebo group.

A phase III study of 719 primary human leukocyte antigen-mismatched renal allograft recipients who were randomized to receive either sirolimus (2 or 5 mg/day) or azathioprine in combination with ciclosporin and prednisone also revealed a dose-dependent effect of sirolimus on the occurrence of anaemia.^[89] The mean haemoglobin values were significantly lower for the 5 mg/day sirolimus group compared with the sirolimus 2 mg/day group and the azathioprine group, although the rates of graft failure and acute rejection were lower at both doses of sirolimus.

The contribution of sirolimus to PTA was also demonstrated in a study where the combination of sirolimus/calcineurin inhibitors/corticosteroids was compared with mycophenolate mofetil/calcineurin inhibitors/corticosteroids in kidney and kidney-pancreas allograft recipients.^[90] Sirolimus-treated patients had an almost 2-fold increase in the prevalence of anaemia (57% vs 31%; $p=0.024$) at 12 months post-transplantation.

Sirolimus use remained an independent determinant of anaemia even after multivariate analysis.

In a prospective study in renal transplantation, 165 patients were randomized to receive either sirolimus or tacrolimus as maintenance immunosuppression in combination with mycophenolate mofetil and corticosteroids and anti-thymocyte globulin as induction therapy.^[91] Calcineurin inhibitors were completely avoided. The sirolimus-treated group exhibited a higher incidence of anaemia towards the tacrolimus-treated group (haemoglobin 10.6 ± 1.2 vs 9.9 ± 1.2 g/dL; $p < 0.001$), at 1 month post-transplantation, a difference that disappeared at 1-year post-transplantation.

In a multicentre study from 15 countries that recruited 1645 renal allograft recipients,^[92] four treatment groups were compared: mycophenolate mofetil with standard-dose ciclosporin and corticosteroids; mycophenolate mofetil with low-dose ciclosporin, daclizumab and corticosteroids; mycophenolate mofetil with low-dose tacrolimus, daclizumab and corticosteroids; or mycophenolate mofetil with low-dose sirolimus (9 mg/day for 3 days, and 3 mg/day thereafter, adjusted to achieve trough levels of 4–8 ng/mL), daclizumab and corticosteroids. Anaemia was 25% in the low-dose sirolimus group compared with 18.5% in the standard-dose ciclosporin group, 17.4% in the low-dose ciclosporin group and 17.1% in the low-dose tacrolimus group ($p > 0.05$).

Apart from the renal and renal/pancreas transplantation, the use of sirolimus in liver transplantation was also accompanied by anaemia. A study of 70 patients with hepatocellular carcinoma (HCC) who received *de novo* immunosuppression with sirolimus showed anaemia and leukopenia in 56% of patients.^[93]

Sirolimus has also been used as an alternative immunosuppressant strategy to allow either dose minimization or complete withdrawal of calcineurin inhibitors in 131 heart and 55 lung transplant patients where a significant drop in haemoglobin levels was recorded after sirolimus introduction.^[94]

As anaemia appears to be an adverse effect of mTORis, a randomized, multicentre 36-month trial in *de novo* renal allograft recipients ($n=588$)

compared everolimus 1.5 or 3 mg/day with mycophenolate mofetil 2 g/day in combination with ciclosporin and corticosteroids.^[95] The mean haemoglobin levels were significantly lower in both everolimus groups.

Moreover, a meta-analysis of randomized trials with sirolimus or everolimus in kidney transplantation demonstrated a dose-dependent increase in the risk of anaemia compared with a regimen of calcineurin inhibitor (ciclosporin or tacrolimus) without mTORis (relative risk [RR] 1.67; 95% CI 1.27, 2.20), a finding that was not noted when sirolimus was juxtaposed to the antimetabolites mycophenolate mofetil and azathioprine (RR 0.94; 95% CI 0.72, 0.99).^[96]

The use of mTORis in oncology and haematology is also characterized by anaemia as an adverse effect. In a phase I study, the pharmacokinetics and activity of a once-weekly oral sirolimus formulation were tested and the 24 patients with advanced solid tumours recruited received doses of sirolimus tablets 10, 20, 30 or 60 mg once weekly. Anaemia presented in 46% of patients.^[34]

Temsirolimus was tested in patients with advanced renal cell carcinoma and no prior systemic therapy who were randomly assigned to one of three groups: (i) interferon subcutaneously up to 18 mega units (MU) three times weekly; (ii) temsirolimus intravenously 25 mg weekly; or (iii) temsirolimus intravenously 15 mg weekly plus interferon subcutaneously 6 MU three times weekly.^[97] Among 208 patients, the most common temsirolimus-related grade III–IV toxicity was anaemia in 13% of patients.

3.3 Mechanisms of mTORis-Induced Anaemia and Microcytosis

The anaemia associated with sirolimus and other mTORis is characterized by marked red blood cell (RBC) mean corpuscular volume (MCV) decline and microcytosis. This is unique amongst immunosuppressive drugs.

The above phenomenon was first described in 2003 in lung transplant recipients with chronic allograft nephropathy.^[98] The patients were switched from mycophenolate mofetil or azathioprine to sirolimus in combination with ciclo-

sporin. After institution of sirolimus, the MCV declined progressively in all patients ($p=0.001$), with a mean drop of 10.4 fl (range 2.7–19.9 fl). No correlation between MCV decline and deterioration of renal function was demonstrated.

A further study demonstrating erythrocyte microcytosis following use of sirolimus compared the haematological effects of sirolimus-ciclosporin-corticosteroids versus sirolimus-corticosteroids.^[99] The nadir in MCV occurred at 12 months for both regimens, at which time 25.7% of patients receiving sirolimus-ciclosporin-corticosteroids and 43.6% of patients receiving sirolimus-corticosteroids had microcytic RBC indices. The sirolimus-induced microcytosis was persistent and to some extent independent of anaemia as it was shown that at 24 months only 8.5% of the patients receiving sirolimus-corticosteroids had severe anaemia, while 29.8%, 27.3%, 35.7% and 58.3% of the patients with normal haemoglobin, mild anaemia, moderate anaemia and severe anaemia, respectively, presented with microcytosis.

Another trial, CONVERT (Conversion from calcineurin inhibitors to sirolimus maintenance therapy in renal allograft recipients), also reported a higher incidence of anaemia in patients who had been converted to sirolimus (37.4% vs 18.7% for the patients who continued on calcineurin inhibitors) and a large decrease in MCV from 90 fl to 82 fl over weeks 2–24 after conversion to sirolimus.^[100] The microcytosis was progressive over time, although anaemia stabilized after a period of time.

Sirolimus-induced microcytosis was also demonstrated in ADPKD patients during a randomized controlled clinical trial that assessed the effect of sirolimus on disease progression.^[62] A total of 25 patients were randomized to sirolimus 2 mg/day and 25 patients were randomized to no treatment except standard care. The haematological parameters were similar in both groups, except for a mild reduction in the MCV of erythrocytes in patients receiving sirolimus.

It seems that microcytosis is not only related to sirolimus treatment but is an mTORis class adverse effect. Recently, a study assessing anaemia caused by everolimus in 43 renal transplant patients after late introduction of everolimus

revealed a significant decline in MCV, serum iron and transferrin saturation, while haemoglobin and ferritin levels remained stable and C-reactive protein (CRP) levels were elevated.^[101]

The effects of everolimus monotherapy on haematological parameters was also assessed in *de novo* liver transplant recipients comparing everolimus versus a ciclosporin immunosuppressive regimen. Anaemia in everolimus patients showed a trend toward the features of a microcytic and hypochromic anaemia, with mean MCV of 79.6 ± 7.5 and 76.4 ± 7.5 at 3 and 6 months, respectively.^[102]

Several possible mechanisms have been proposed to explain the mTORis-induced anaemia and microcytosis.

3.3.1 Suppression of Bone Marrow Cells

Although the suppressive effect of mTORis on bone marrow cells seems to be a convincing explanation for the adverse effects of leukopenia and thrombocytopenia, it is probably only a weak pathophysiological parameter for the induced anaemia. Sirolimus and the other mTORis are more likely to interrupt the production of bone marrow elements by inhibiting cytokine signal transduction. Sirolimus blocks cytokine-driven *in vitro* proliferation of various haematopoietic cell lines, possibly via signal transduction inhibition of the glycoprotein 130 β chain, which is shared by the IL-2, IL-6 and IL-11 receptor, leading to depletion of erythrocytes, leukocytes and platelets. Moreover, as S6K1 (ribosomal p70S6 kinase) is a downstream form of phosphatidylinositol 3-kinase (PI3-K), which is produced by the binding of erythropoietin to its cytoplasmic receptor, and since S6K1 modifies cellular translation in response to erythropoietin, thrombopoietin and IL-3,^[103,104] it seems logical that the inhibition of the mTOR, which controls the S6K1, may lead to diminished replication of the erythroid cell population and anaemia. However, the above hypothesis is supported by limited data.^[105]

3.3.2 Globin Production Defect

The development of a marked erythrocyte microcytosis within 6 months post-transplant

was analysed in a prospective study that compared sirolimus + mycophenolate mofetil + prednisone with ciclosporin + mycophenolate mofetil + prednisone.^[106] Mean haemoglobin levels were similar in the two groups at 6 months (11.2 ± 1.0 vs 11.7 ± 1.4 g/dL, respectively), whereas MCV was significantly lower with the sirolimus-containing regimen (78.5 ± 3.8 vs 88.4 ± 3.4 , respectively) [table II]. The study demonstrated marked erythrocyte microcytosis without significant anaemia (most of the patients in the sirolimus group showed mild anaemia with haemoglobin concentration between 10 and 12 g/dL). According to the above study, microcytosis was unlikely to be related to sirolimus trough concentrations that were assessed as mean values at only three study time-points. Instead, it has been proposed that the microcytosis could be caused by a globin production defect as in thalassaemia, mainly due to high haemoglobin being out of proportion to low MCV. In accordance with the above mechanism, it has recently been suggested that the clinical use of sirolimus may result in early differentiation of erythroid precursors and diminished globin synthesis, contributing to microcytic anaemia.^[110]

However, the above hypothesis was not supported by the findings of three separate studies. A study in ten patients with β -thalassaemia assessed the effects of rapamycin on cultures of erythroid progenitors from the peripheral blood of the patients.^[111] The results demonstrated that rapamycin induced an increase of fetal haemoglobin (HbF) in cultures from all the β -thalassaemia patients studied and an increase in their overall haemoglobin content/cell. The inducing effect of rapamycin was restricted to c-globin mRNA accumulation, being only minor for b-globin and none for a-globin mRNAs. According to the results, a strong correlation between the increase in HbF and c-globin mRNA content was demonstrated.

A second study in human leukaemia cell line and culture of human erythroid progenitors isolated from healthy donors and patients with β -thalassaemia also showed that rapamycin is a powerful inducer of erythroid differentiation and c-globin mRNA accumulation, in leukaemia

Table II. Studies of sirolimus (SRL)-induced anaemia with reference to mean corpuscular volume (MCV) change

Study	Design	N	Early/ late use	Hb change	MCV change	Ferritin change	Fe change	TSAT change	Mean trough SRL levels (ng/mL)
Kim et al. ^[106]	Prospective, single-centre SRL + MMF + PDS vs CsA + MMF + PDS	59	Early	Only on day 91	21/26 MCV <79 5/26 MCV <85	19/21 microcytic patients ferritin >10 ng/mL	NE	19/21 microcytic patients TSAT >15%	17.1 ± 7.8 day 7, 20.2 ± 5.8 day 91
Maiorano et al. ^[107]	Prospective, randomized, single-centre SRL + MMF + PDS vs CsA + MMF + PDS	42	Late (CAN)	Significant reduction	Significant reduction	No change	Reduction	Reduction	Target trough 6–10, mean not mentioned
Thaunat et al. ^[108]	Retrospective single-centre, switch from CNI to SRL for CAN	46	Late (CAN)	Decline in 40/46 patients	Decline	High levels	Low levels	NE	Target trough 12–20
Augustine et al. ^[109]	Prospective, single-centre switch from SRL to eMPS	25	Late	Raised post-conversion	Raised post-conversion	No alteration	No alteration	No alteration	9.5 ± 2.3
Friend et al. ^[99]	Prospective, randomized, multicentre SRL-CsA - corticosteroids vs SRL-corticosteroids	430	Late	Lower with SRL-corticosteroids at 6 mo, dropped more with SRL-CsA-corticosteroids at >2 y	Low in both groups, NE lower in SRL-corticosteroids		NE	NE	10.6 for the SRL-CsA-corticosteroids group vs 25.1 for the SRL-corticosteroids group month 12
Sanchez Fructuoso et al. ^[101]	Prospective, single-centre, late introduction of everolimus	43	Late	No change	Significant reduction	Stable	Reduced	Reduced	8.6 ± 5.0 at day 4

CAN = chronic allograft nephropathy; **CNI** = calcineurin inhibitors; **CsA** = ciclosporin; **eMPS** = enteric-coated mycophenolate sodium; **Hb** = haemoglobin; **MMF** = mycophenolate mofetil; **NE** = not estimated; **PDS** = prednisone; **TSAT** = transferrin saturation.

K562 cells as well as in normal human erythroid precursors and erythroid precursor cells from patients with β -thalassaemia.^[112] Erythroid differentiation was found to be associated with a sharp increase in the production of ϵ -globin mRNA, whereas these effects were not associated with inhibition of cell growth.

The third study assessed the effects of everolimus on the erythroid differentiation of human leukaemic cells and on cultures of erythroid progenitors from the peripheral blood of patients with β -thalassaemia.^[113] Everolimus was demonstrated to be a potent inducer of erythroid differentiation increasing the production of α - and γ -globin mRNAs in the leukaemic cells and of γ -globin mRNA in the patients with β -thalassaemia.

3.3.3 Erythropoietin Resistance Hypothesis

In a study by Augustine et al.,^[109] 25 stable renal transplant patients receiving maintenance tacrolimus and sirolimus therapy were enrolled in a prospective trial with conversion from sirolimus to enteric-coated mycophenolate sodium. It was shown that conversion from sirolimus to enteric-coated mycophenolate sodium was accompanied by significant increase in haemoglobin and decrease in erythropoietin resistance, as assessed by the endogenous erythropoietin : haemoglobin ratio (table II). Mean MCV levels rose from 84.5 ± 3.6 fl pre-conversion to 89.5 ± 4.9 fl at 6 months ($p=0.001$). No effect of sirolimus on ferritin levels or renal function was demonstrated.

3.3.4 Chronic Inflammatory State Hypothesis

In another study, by Thaunat et al.,^[108] 48 renal transplant patients who had been switched from calcineurin inhibitors to sirolimus for biopsy-proven chronic allograft nephropathy were retrospectively analysed. A clinical pattern of microcytic anaemia, together with low serum iron, high ferritinaemia and high fibrinogen and CRP levels, were described. IL-6 and tumour necrosis factor (TNF)- α levels at the nadir of anaemia were significantly higher than before sirolimus introduction and after its withdrawal, whereas an absence of any increase in IL-10 was recorded. It was therefore postulated that this

was the effect of sirolimus inhibition of monocyte IL-10 production via the p70 S6-kinase pathway, leading to a defective IL-10-dependent inflammatory autoregulation and a chronic inflammatory state.

3.3.5 Dysregulation of Cellular Iron Metabolism Hypothesis

A prospective study by Maiorano et al.^[107] compared a group of 14 patients with CAN randomized to 40% ciclosporin reduction with a second group of 28 patients randomized to immediate ciclosporin withdrawal and sirolimus commencement. While ferritin levels remained stable after sirolimus introduction, iron serum levels, together with haemoglobin, transferrin saturation, MCV and mean corpuscular haemoglobin, were markedly reduced in the patients assigned to sirolimus switch, whereas no significant change was observed regarding the above parameters in the patients who continued receiving ciclosporin (table II). Serum hepcidin levels were similar in the two groups after randomization, whereas serum levels of hepcidin are usually markedly increased with chronic inflammation-related anaemia. The hypothesis that sirolimus affects a downstream mechanism directly influencing ferroportin expression or function, and consequently cellular iron metabolism, was therefore made.

3.3.6 Hepcidin-Mediated Iron Homeostasis Interference: Reversal of Microcytosis with Intravenous Iron

In a retrospective analysis of 93 renal and renal/pancreas transplant patients who were treated with sirolimus for >3 months, we showed that sirolimus induced marked erythrocyte microcytosis from the first month of introduction and throughout the whole study period of 24 months.^[114] The microcytosis was not accompanied by anaemia as haemoglobin improved, probably due to an increase in erythropoiesis stimulating agents and to estimated glomerular filtration rate improvement. An association between dMCV (MCV change on sirolimus) and sirolimus levels was shown at 3, 6, 12 and 24 months post-sirolimus ($p=0.015$, $p=0.037$, $p=0.002$ and

$p=0.001$, respectively). The multiple linear regression analysis demonstrated that intravenous iron administration was an independent predictor of dMCV at 12 and 24 months on sirolimus ($p=0.031$ and $p=0.048$). All six patients who were treated with intravenous iron only for putative iron deficiency presented an RBC MCV increase and restoration of microcytosis, an event that did not occur with the three patients who took oral iron only. We support a functional iron-deficient hypothesis on the basis of microcytosis accompanied by increased red cell volume distribution width (RDW), unchanged and almost normal ferritin levels, stable levels of CRP and the lack of response to oral iron. We also provided an indirect clue for a key role of hepcidin based on the marked impact of intravenous iron supplementation on MCV, and the marked 'rebound' in plasma ferritin levels in those patients in whom sirolimus was discontinued.^[114]

Although the above proposed mechanisms are presented separately, some of them are related and probably have to be analysed under the spectrum of their interaction (figure 2).

Hepcidin, a 25-amino acid peptide converted from prohepcidin, which is produced by the hepatocytes, is a key regulator of the extracellular iron homeostasis.^[115,116] It acts by binding to

ferroportin, the sole known cellular iron efflux channel,^[117] inducing its internalization and degradation in the cytoplasm.^[118] Thus, hepcidin regulates iron efflux into plasma, either from enterocytes that absorb dietary iron, macrophages that recycle iron, or hepatocytes that store iron. The upregulation of hepcidin results in inhibition of intestinal iron absorption and iron release from macrophages and hepatocytes.^[119] Hepcidin expression is upregulated by inflammation and elevated iron concentration and down-regulated by erythropoietic activity and hypoxia.^[120-123] As inflammation increases, cytokine stimulation of hepcidin production and high hepcidin levels limit iron availability for erythropoiesis (as a result of hepcidin-induced loss of ferroportin from macrophages). It thus seems that hepcidin plays a crucial role in the anaemia of inflammation and erythropoietin resistance as well as in the iron-resistant, iron deficiency anaemia. Apart from the above interaction, inflammation may also impact on erythropoiesis through a cytokine (IL-1, TNF, IL-6, interferon)-dependent, hepcidin-independent pathway.^[124,125]

Although the detection of hepcidin has been hampered by technical difficulties, a few methods for hepcidin quantification in serum and urine have been proposed. While the major form of

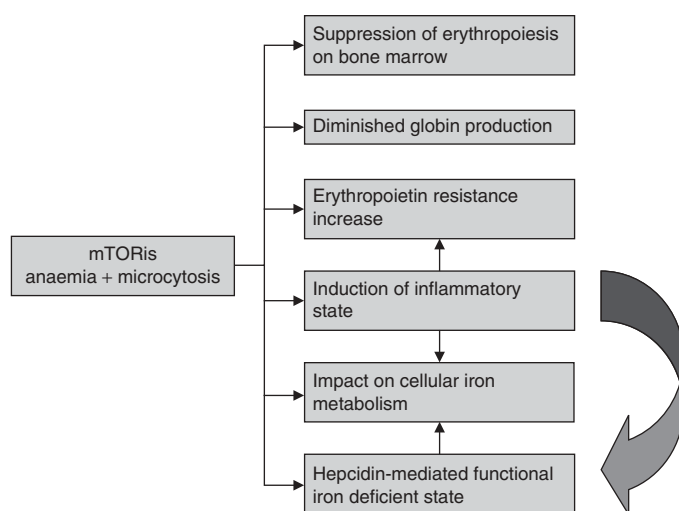


Fig. 2. Possible mechanisms of mammalian target of rapamycin inhibitor (mTORi)-induced anaemia and microcytosis and their interactions.

hepcidin that can be detected in urine and serum is hepcidin-25 and hepcidin-20,^[126] prohepcidin (a 60 amino acid peptide) is easily detectable in serum. However, the diagnostic utility of serum prohepcidin determination is disputed since prohepcidin is a processing intermediate lacking a significant biological role in iron metabolism.^[127,128] This was exactly the main disagreement in the study published by Maiorano et al.^[107] where the enzyme-linked immunosorbent assay (ELISA) that was used did not measure mature hepcidin but prohepcidin, which demonstrates a poor correlation with body iron stores.^[129]

In a study by Park et al.,^[126] human urinary hepcidin-25 and hepcidin-20 was measured using cation exchange chromatography and reverse-phase high-performance liquid chromatography (HPLC). Subsequently, other assays for urinary hepcidin determination were reported.^[130,131] More recently, studies using methods for serum hepcidin detection and quantification-like, surface-enhanced laser desorption/ionization time-of-flight mass spectrometry (SELDI-TOF MS)^[132] liquid chromatography (liquid chromatography-tandem mass spectrometry method [LC-MS/MS])^[133] or isotope dilution micro-HPLC-tandem MS^[134,135] were published. Furthermore, in the last 2 years, ELISA was used in studies reporting promising results regarding accuracy.^[136-138] However, specific studies designed to evaluate the reliability and reproducibility of all the assays for hepcidin determination, either in serum or urine, are warranted in order to establish universal standards and widely, commercially available assays.

4. Conclusions

The mTORis are novel immunomodulatory agents used increasingly frequently in organ transplantation and cancer. Their clinical applications have expanded recently to cover a very wide range of immunological and non-immunological disorders. Haematological adverse effects from this class of drug are important and frequent, and are seen across all of the patient groups and in all the clinical contexts, and can constitute an important reason for treatment

withdrawal. Of course, patients with chronic kidney disease are themselves more prone to anaemia, and this is a factor in this particular context. The haematological adverse effects that are demonstrated by the mTORis are quite distinctive from many of the other immunosuppressants. There are some particularly interesting issues in relation to the actions of these agents on bone marrow and on the separate cell lines therefrom. Thrombocytopenia and leukopenia seem mainly to occur on the basis of a direct effect on the bone marrow cells. On the other hand, anaemia, which is common, cannot be explained solely on the above basis as potentially several other proposed mechanisms seem also to be involved. Studying and understanding the pathophysiology and underlying mechanisms of mTORis-induced anaemia could be important in discriminating this anaemia from anaemia of pure iron deficiency or anaemia of chronic disease, and could also reveal useful clues about how these complex systems interact in health and in disease.

Anaemia postrenal transplantation is complex and multifactorial, but is often microcytic. This low MCV is most commonly due to iron deficiency, usually absolute, more rarely, relative. As the clinically important differential in a transplant patient with microcytosis would be iron deficiency due to chronic blood loss (requiring extensive and expensive investigations), haemoglobinopathy, or functional iron deficiency, understanding the characteristics of sirolimus-induced RBC microcytosis/anaemia could thus spare patients unnecessary investigation and intervention. It could also help to generate novel hypotheses for the mechanisms of action of these drugs in humans, their potential uses (e.g. sickle-cell disease and β -thalassaemia) and possibly even lead to novel targets for future drug design.

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- Correspondence: Dr *David Goldsmith*, MA, FRCP, Department of Renal Medicine and Transplantation, Guy's and St Thomas' NHS Foundation Trust, King's Health Partners AHSC, London SE1 9RT, UK.
E-mail: david.goldsmith@gstt.nhs.uk